

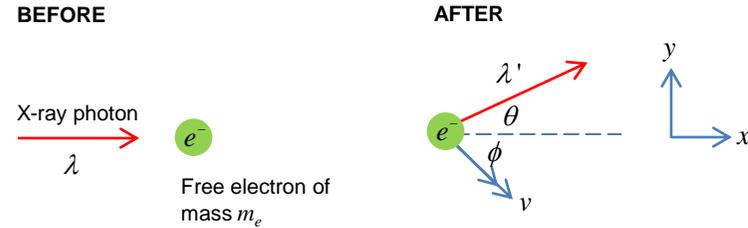
The **Compton Effect** describes a classic experiment which demonstrates the *particle nature of electromagnetic radiation*. i.e. electromagnetic radiation can be imagined as comprising spatially point-like **photons**, with **energy**  $E = hf$  and **momentum**  $p = h / \lambda$  (but crucially, zero mass). i.e. we use **Planck** and **de Broglie** relationships here.

The Compton Effect refers to the scattering of **X-rays** off **free electrons**. Using the photon model of X-rays, this can be modelled as a two-dimensional collision problem. Experimentally, a beam of X-rays will be both deflected and *frequency shifted* following collision with an electron.

$$\Delta\lambda = \lambda' - \lambda = \frac{h}{m_e c} (1 - \cos\theta)$$

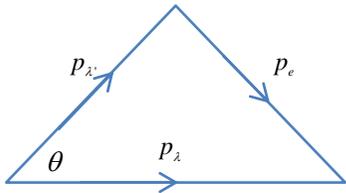
The shift of X-ray wavelength

For simplicity, let us choose a frame of reference where free electron is initially at rest\*



We must assume that the speeds involved imply a **Relativistic** treatment of dynamics is needed.

Momentum conservation:  $\mathbf{p}_\lambda = \mathbf{p}_{\lambda'} + \mathbf{p}_e$



Cosine rule:  $p_e^2 = p_\lambda^2 + p_{\lambda'}^2 - 2p_\lambda p_{\lambda'} \cos\theta$

Energy conservation:  $E_\lambda + m_e c^2 = E_{\lambda'} + E_e$

From energy-momentum invariant:  $E_e^2 - p_e^2 c^2 = m_e^2 c^4$       $E_\lambda = p_\lambda c$       $E_{\lambda'} = p_{\lambda'} c$

Hence:

$$p_\lambda c + m_e c^2 = p_{\lambda'} c + \sqrt{m_e^2 c^4 + p_e^2 c^2}$$

$$\therefore ((p_\lambda - p_{\lambda'})c + m_e c^2)^2 = m_e^2 c^4 + p_e^2 c^2$$

$$\therefore (p_\lambda - p_{\lambda'})^2 c^2 + 2(p_\lambda - p_{\lambda'})m_e c^3 + m_e^2 c^4 = m_e^2 c^4 + p_e^2 c^2$$

$$\therefore p_e^2 = (p_\lambda - p_{\lambda'})^2 + 2m_e c(p_\lambda - p_{\lambda'})$$

For particles which have mass	For photons
$E = \gamma m c^2$	$E = \frac{hc}{\lambda}$
$\mathbf{p} = \gamma m \mathbf{v}$	$p = \frac{h}{\lambda}$
$\gamma = \left(1 - \frac{v^2}{c^2}\right)^{-\frac{1}{2}}$	$\therefore E = pc$

Equating these two expressions for  $p_e^2$

$$(p_\lambda - p_{\lambda'})^2 + 2m_e c(p_\lambda - p_{\lambda'}) = p_\lambda^2 + p_{\lambda'}^2 - 2p_\lambda p_{\lambda'} \cos\theta$$

$$p_\lambda^2 + p_{\lambda'}^2 - 2p_\lambda p_{\lambda'} + 2m_e c(p_\lambda - p_{\lambda'}) = p_\lambda^2 + p_{\lambda'}^2 - 2p_\lambda p_{\lambda'} \cos\theta$$

$$m_e c(p_\lambda - p_{\lambda'}) = p_\lambda p_{\lambda'} (1 - \cos\theta)$$

From de-Broglie relation:  $p_\lambda = h/\lambda$       $p_{\lambda'} = h/\lambda'$

Hence:

$$m_e c h \left( \frac{1}{\lambda} - \frac{1}{\lambda'} \right) = \frac{h^2}{\lambda \lambda'} (1 - \cos\theta)$$

$$\therefore m_e c (\lambda' - \lambda) = h (1 - \cos\theta)$$

$$\Delta\lambda = \lambda' - \lambda = \frac{h}{m_e c} (1 - \cos\theta)$$

Maximum wavelength shift is when:  $\cos\theta = 1 \Rightarrow \theta = 180^\circ$

i.e. when X-ray photon recoils backwards. In this case:

$$\Delta\lambda_{\max} = \frac{2h}{m_e c}$$

$$E_\lambda = hc/\lambda \Rightarrow \lambda = hc/E_\lambda$$

$$\therefore \frac{\Delta\lambda_{\max}}{\lambda} = \frac{2h}{m_e c} \frac{E_\lambda}{hc}$$

$$E_\lambda = \frac{1}{2} m_e c^2 \frac{\Delta\lambda_{\max}}{\lambda}$$

$$e = 1.6021766208(98) \times 10^{-19} \text{ C}$$

$$c = 2.99792458 \times 10^8 \text{ ms}^{-1}$$

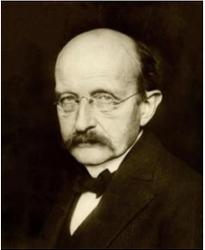
$$h = 6.626070040(81) \times 10^{-34} \text{ kgm}^2 \text{ s}^{-1}$$

$$m_e = 9.10938356(11) \times 10^{-31} \text{ kg}$$

$$m_e c^2 = 511 \text{ keV}/c^2$$



Arthur Compton  
1892-1962  
Nobel Prize 1927



Max Planck  
1858 – 1947  
Nobel Prize 1918

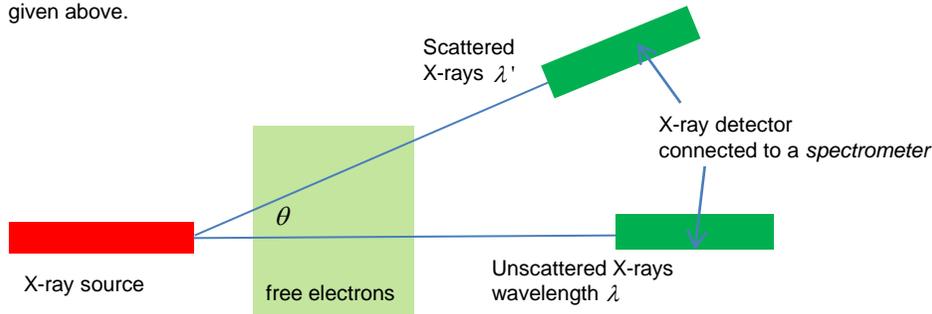


Louis de Broglie  
1892 – 1987  
Nobel Prize 1929

\*For a real system there will likely be a distribution of electron and X-ray momenta, so the Compton effect will have to be analysed based upon statistical variations

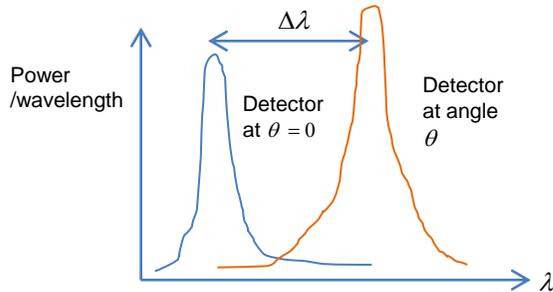
If one places an X-ray detector at angle  $\theta$  from an incident beam, one should detect scattered X-rays which are wavelength shifted by the amount given above.

(Note X-ray intensity will diminish with range due to absorption and dispersion. Hence detectors should be at the same range, i.e. on a movable arc).



Typical X-rays have a wavelength of 0.01 to 10nm, so this shift may be a small percentage change. Since the shift is absolute, rather than proportional to incident wavelength like a Doppler effect, it makes sense to use *harder* (i.e. smaller wavelength) X-rays in order to see a more pronounced effect.

The spectra for X-rays might look something like this:  $\Delta\lambda = \frac{h}{m_e c} (1 - \cos\theta)$



### What is the recoil speed of the electron?

Conservation of energy:

$$hc/\lambda + m_e c^2 = hc/\lambda' + \left(1 - \frac{v^2}{c^2}\right)^{-\frac{1}{2}} m_e c^2$$

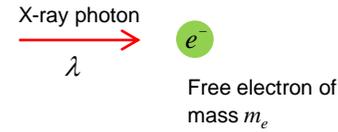
$$\therefore \left(\frac{hc/\lambda - hc/\lambda' + m_e c^2}{m_e c^2}\right)^{-2} = 1 - \frac{v^2}{c^2}$$

$$\therefore v = c \sqrt{1 - \left(\frac{m_e c^2}{hc/\lambda - hc/\lambda' + m_e c^2}\right)^2}$$

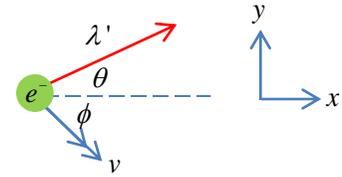
$$\lambda' = \lambda + \frac{h}{m_e c} (1 - \cos\theta)$$

We can also find the **electron recoil angle** using conservation of momentum:

**BEFORE**



**AFTER**



Conservation of momentum:  $x: p_\lambda = p_{\lambda'} \cos\theta + p_e \cos\phi$   
 $y: 0 = p_\lambda \sin\theta - p_e \sin\phi$

Hence:  $p_e \sin\phi = p_{\lambda'} \sin\theta$   
 $p_e \cos\phi = p_\lambda - p_{\lambda'} \cos\theta$   
 $\therefore \tan\phi = \frac{p_{\lambda'} \sin\theta}{p_\lambda - p_{\lambda'} \cos\theta}$   
 $\therefore \tan\phi = \frac{\sin\theta}{p_\lambda/p_{\lambda'} - \cos\theta}$

$$\frac{p_\lambda}{p_{\lambda'}} = \frac{h}{\lambda} \frac{\lambda'}{h} = \frac{\lambda'}{\lambda}$$

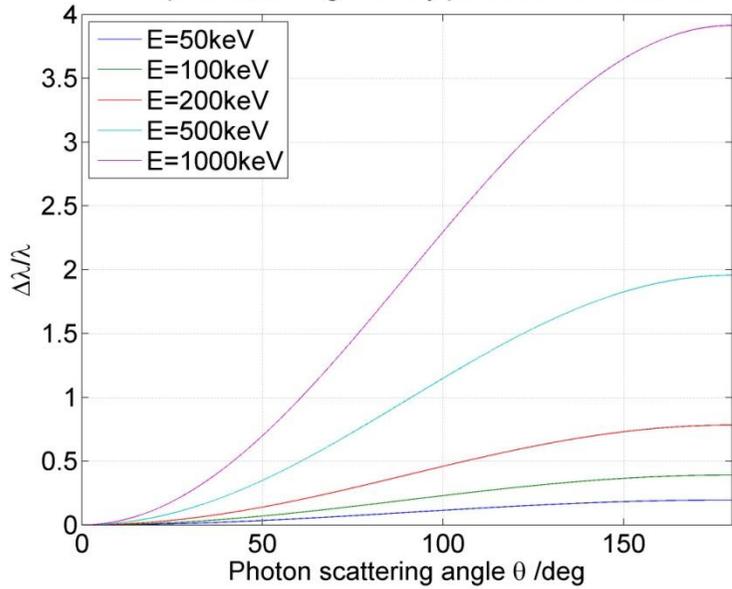
$$\lambda' - \lambda = \frac{h}{m_e c} (1 - \cos\theta)$$

$$\therefore \frac{\lambda'}{\lambda} - 1 = \frac{h}{m_e c \lambda} (1 - \cos\theta)$$

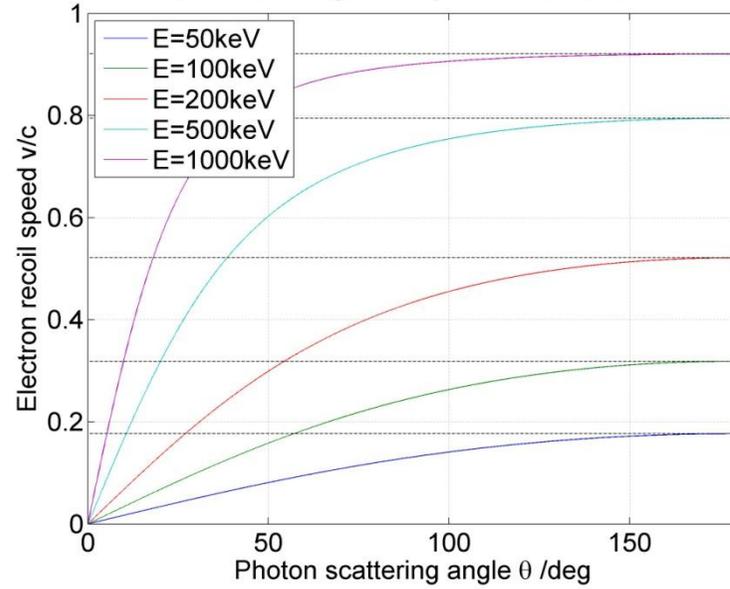
$$\therefore \frac{\lambda'}{\lambda} = \frac{h}{m_e c \lambda} (1 - \cos\theta) + 1$$

Hence:  $\tan\phi = \frac{\sin\theta}{1 + \frac{h}{m_e c \lambda} (1 - \cos\theta) - \cos\theta}$

Compton scattering of X-ray photon off an electron

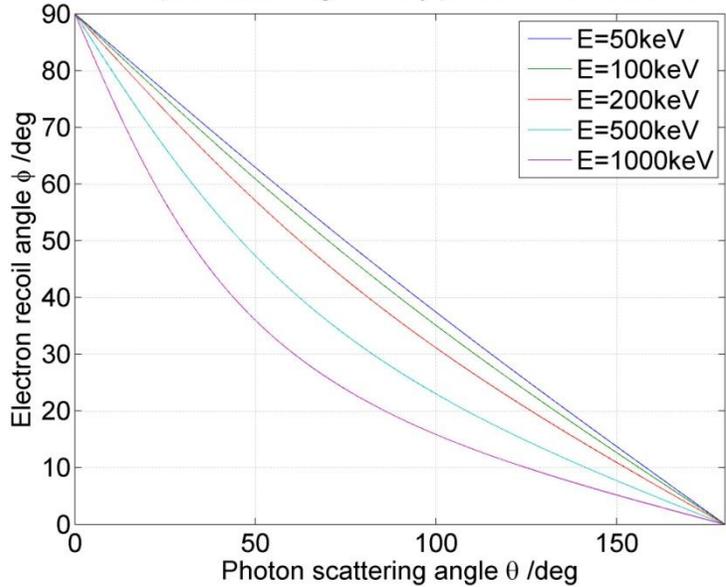


Compton scattering of X-ray photon off an electron



$e = 1.6021766208(98) \times 10^{-19} \text{ C}$   
 $c = 2.99792458 \times 10^8 \text{ ms}^{-1}$   
 $h = 6.626070040(81) \times 10^{-34} \text{ kgm}^2\text{s}^{-1}$   
 $m_e = 9.10938356(11) \times 10^{-31} \text{ kg}$   
 $m_e = 511 \text{ keV}/c^2$

Compton scattering of X-ray photon off an electron



Compton scattering summary:

$$\lambda = hc/E_\lambda$$

$$\lambda' = \lambda + \frac{h}{m_e c} (1 - \cos \theta)$$

$$\tan \phi = \frac{\sin \theta}{1 + \frac{h}{m_e c \lambda} (1 - \cos \theta) - \cos \theta}$$

$$v = c \sqrt{1 - \left( \frac{m_e c^2}{hc/\lambda - hc/\lambda' + m_e c^2} \right)^2}$$

BEFORE

X-ray photon  
 $\lambda$



Free electron of mass  $m_e$

AFTER

